

# Lambda Theory Model

Co-consistency of Lambda theory  
with ZF(C) theory

laurent.dubois@ulb.ac.be

# Language

- $\in, =, \Lambda$  (constant)
- First-order language

# Abbreviations

- $\forall^* x \equiv \forall x \neq \Lambda$
- $\exists^* x \equiv \exists x \neq \Lambda$
- $\Lambda$ -set  $\equiv$  set that contains  $\Lambda$

# Theory

- $\forall x(x \neq \Lambda \Rightarrow \Lambda \in x)$  (1)
- $\forall x((x = \Lambda) \vee (\Lambda \in x))$  (2) (contraposition of 1)
- $\neg \exists x(x \neq \Lambda \wedge \neg(\Lambda \in x))$  (3) (dual of 2)
- $\forall x(\neg(x \in \Lambda))$  (4)
- $\forall x(x \neq \Lambda \Leftrightarrow \Lambda \in x)$  (5) (1 + 4)

# $\sigma$ -axioms

- Extensionality:  $\forall x \forall y (\forall z ((z \in x \Leftrightarrow z \in y) \Rightarrow x = y))$
- Triple:  $\forall x \forall y \exists z (\forall t (t \in z \Leftrightarrow t = x \vee t = y \vee t = \Lambda))$ .  
Or  $\forall x \forall y \exists z (x \in z \wedge y \in z)$  + separation.
- Union:  $\forall x \exists y \forall u (u \in y \Rightarrow \exists z (z \in x \wedge u \in z))$
- Power Set:  $\forall x \exists y (\forall z ((\forall t (t \in z \Rightarrow t \in x) \wedge \Lambda \in z) \Rightarrow z \in y) \wedge \Lambda \in y)$

# $\sigma$ -axioms

- Separation Scheme:  $\forall p_1, \dots, p_n \forall x \exists y \forall z (z \in y \Leftrightarrow (z \in x \wedge (\Phi(z, p_1, \dots, p_n) \vee z = \Lambda)))$
- Replacement Scheme:  $\forall p_1, \dots, p_n (\forall x \forall y \forall z ((\Phi(x, y, p_1, \dots, p_n) \wedge \Phi(x, z, p_1, \dots, p_n)) \Rightarrow y = z) \Rightarrow \forall x \exists y \forall z (z \in y \Leftrightarrow \exists u (u \in x \wedge (\Phi(u, z, p_1, \dots, p_n) \vee z = \Lambda))))$
- Infinity:  $\exists x \forall y (y \in x \Rightarrow y \cup \{y\} \in x)$
- Regularity:  $\forall x (\exists y (y \neq \Lambda \wedge y \in x \Rightarrow \exists y (y \neq \Lambda \wedge y \in x \wedge \neg \exists z (z \neq \Lambda \wedge z \in y \wedge z \in x))))$

# $\sigma^*$ -axioms

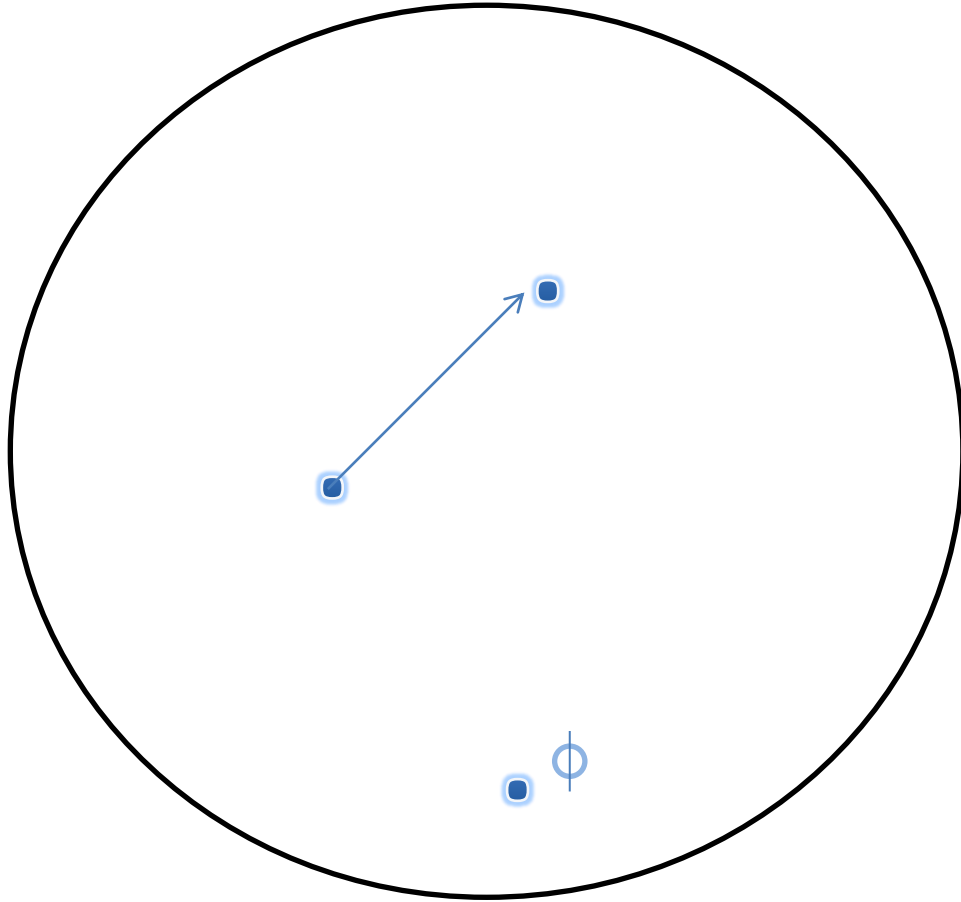
- Extensionality:  $\forall^*x \forall^*y (\forall^*z ((z \in x \Leftrightarrow z \in y) \Rightarrow x = y))$
- Triple:  $\forall^*x \forall^*y \exists^*z (x \in z \wedge y \in z)$  + separation.
- Union:  $\forall^*x \exists^*y \forall^*u (u \in y \Rightarrow \exists^*z (z \in x \wedge u \in z))$
- Power Set:  $\forall^*x \exists^*y (\forall^*z ((\forall^*t (t \in z \Rightarrow t \in x) \wedge \Lambda \in z) \Rightarrow z \in y) \wedge \Lambda \in y)$

# $\sigma^*$ -axioms

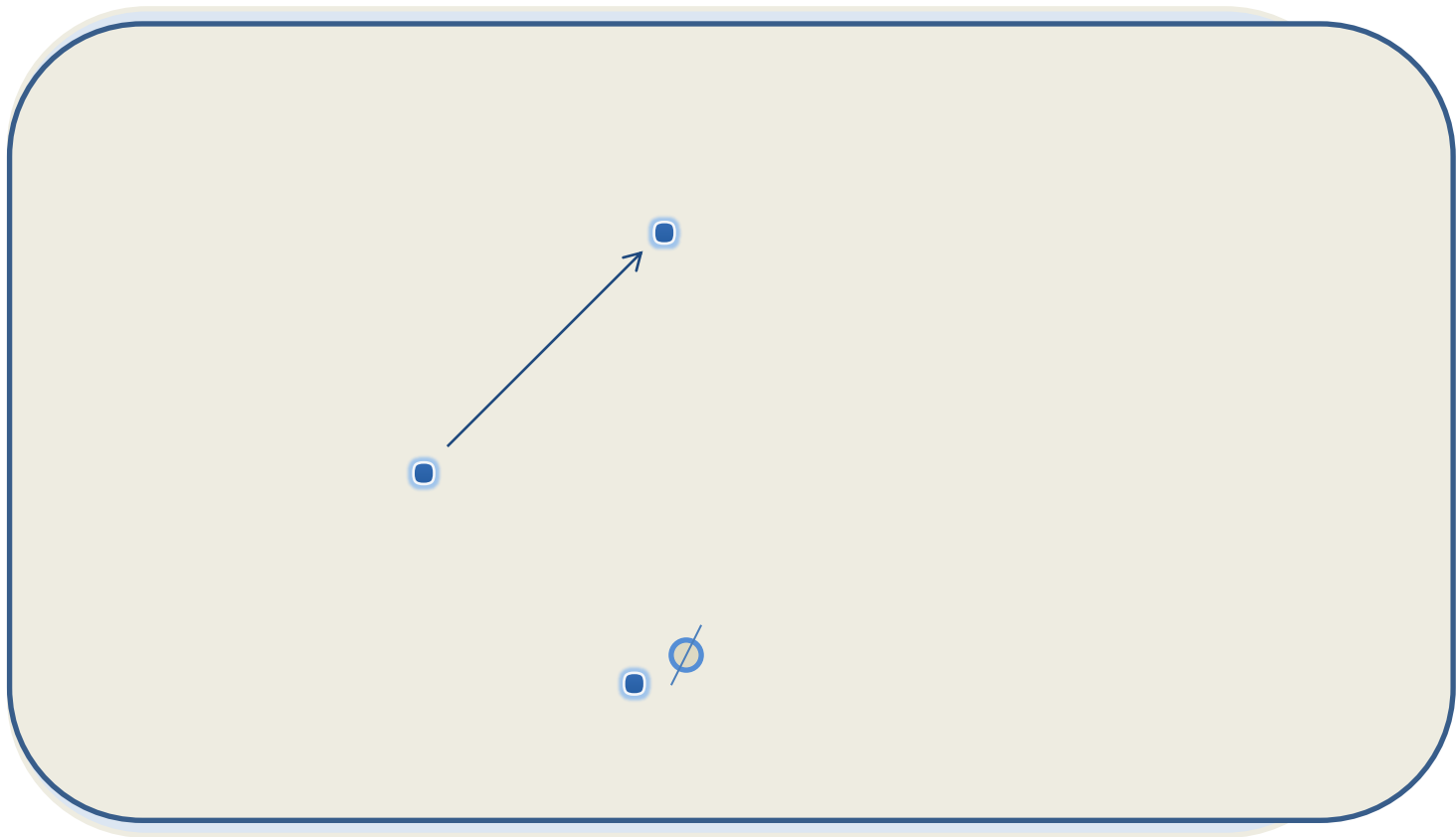
- Separation Scheme:  $\forall^* p_1, \dots, p_n \forall^* x \exists^* y \forall^* z (z \in y \Leftrightarrow (z \in x \wedge (\Phi(z, p_1, \dots, p_n))))$
- Replacement Scheme:  $\forall p_1, \dots, p_n (\forall^* x \forall^* y \forall^* z ((\Phi(x, y, p_1, \dots, p_n) \wedge \Phi(x, z, p_1, \dots, p_n)) \Rightarrow y = z) \Rightarrow \forall^* x \exists^* y \forall^* z (z \in y \Leftrightarrow \exists^* u (u \in x \wedge (\Phi(u, z, p_1, \dots, p_n))))))$
- Infinity:  $\exists^* x \forall^* y (y \in x \Rightarrow y \cup \{y\} \in x)$
- Regularity:  $\forall^* x (\exists^* y (y \in x) \Rightarrow \exists^* y (y \in x \wedge \neg \exists^* z (z \in y \wedge z \in x)))$



ZF Universe:  $U, \epsilon, =$

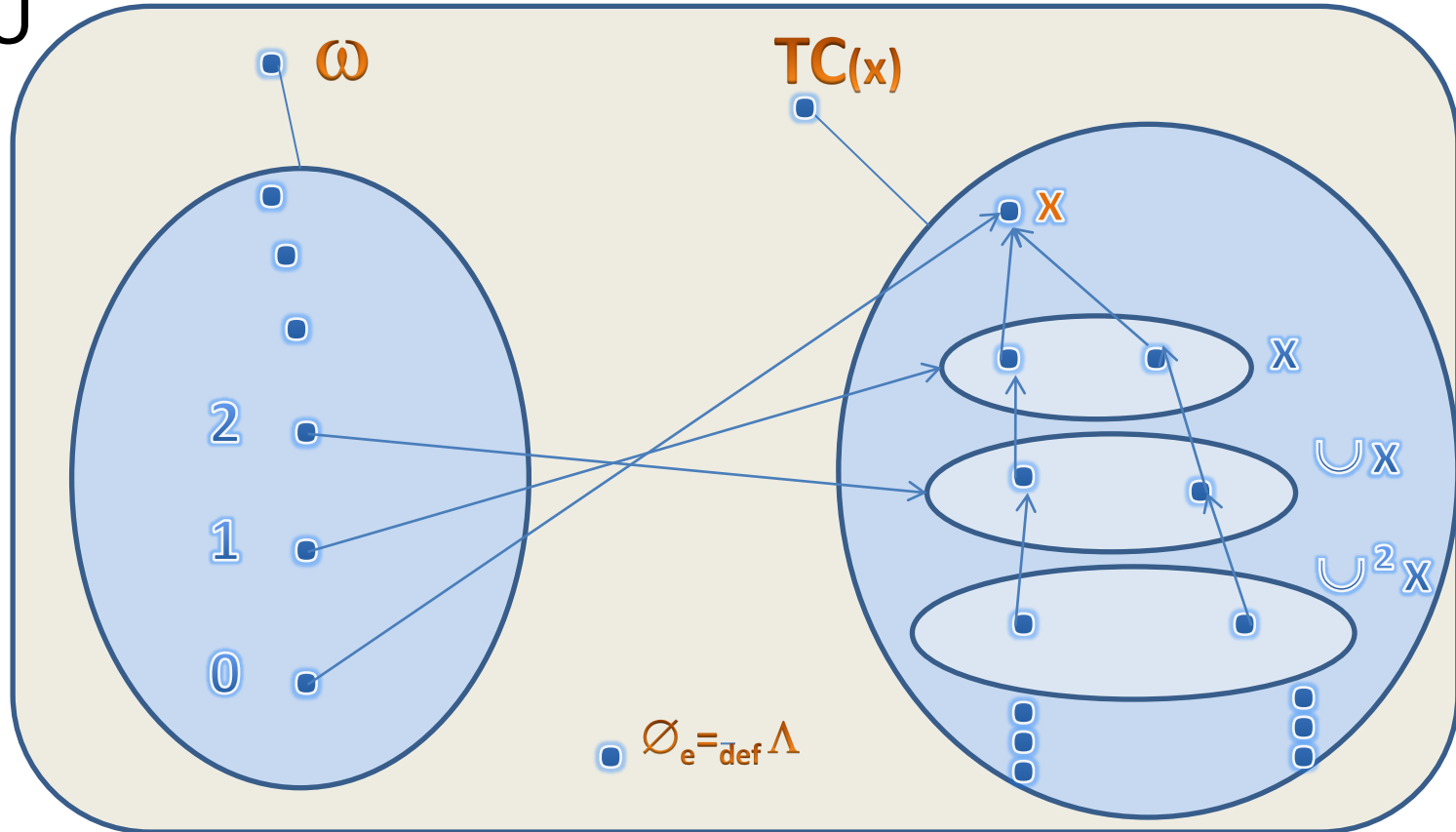


# ZF Universe: $U, \in, =$



# Transitive Closure

- U

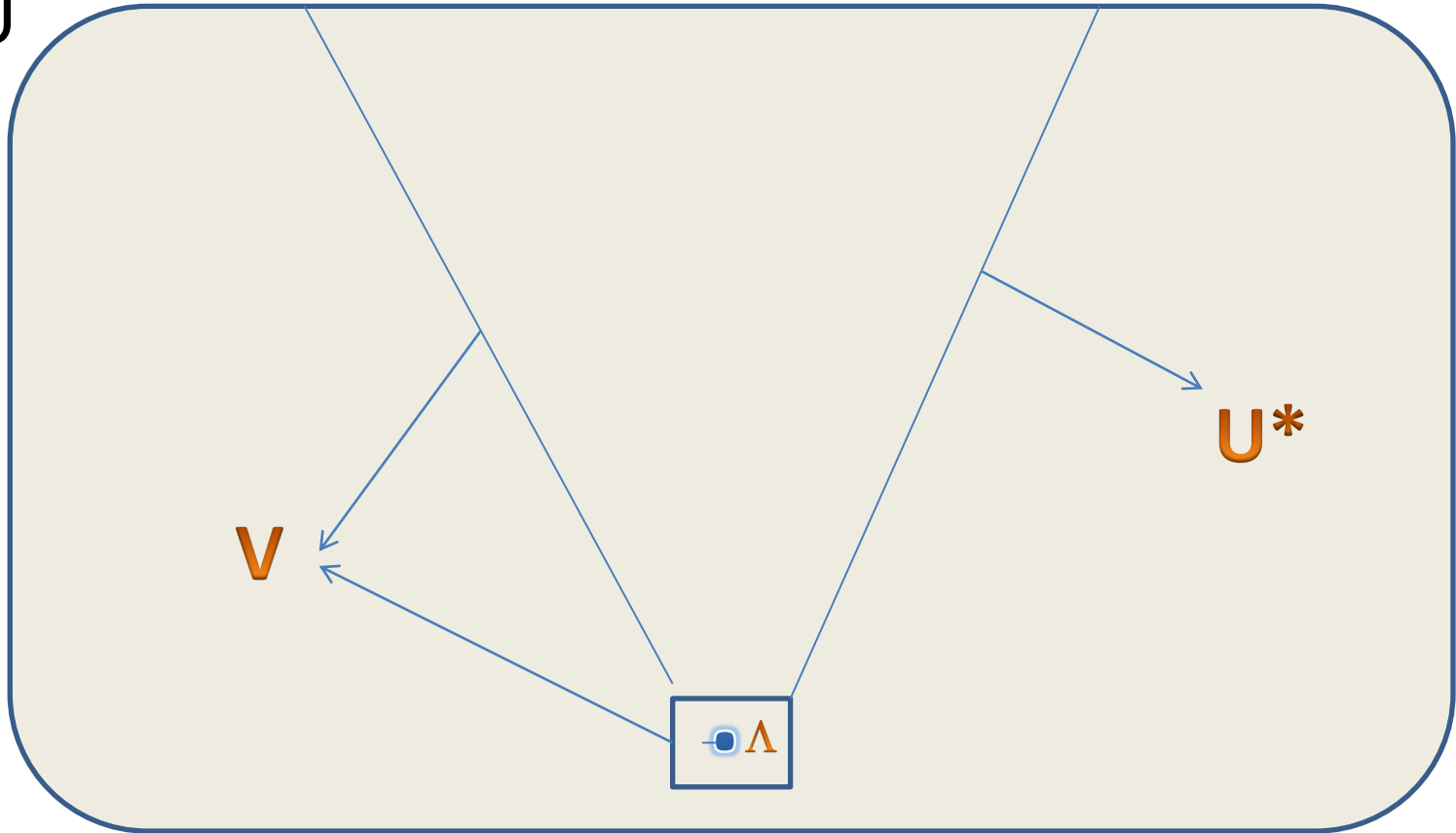


# Transitive Closure

- $TC(x) =_{\text{def.}} \{x\} \cup [\cup\{x, Ux, U^2x, U^3x, \dots\}]$
- $\cup\{x, Ux, U^2x, U^3x, \dots\} = x \cup (Ux) \cup (U^2x) \dots$
- $\{0, 1, 2, 3 \dots\} = \omega$
- We get the transitive closure by replacement scheme.

# Lambda-Universes and ZF-Universes

- U



# Terminology

- $\Lambda$  is « the Nothing », « the Void ».
- $U^*$  is the class of sets, i.e. the class of  $x$  such that  $\forall z \in TC(x)(z \neq \Lambda \Rightarrow \Lambda \in z)$ .

# Lambda-Universes

- $V, \in \wedge V, = \wedge V$
- $V = U^* \cup \{\Lambda\}$
- Trick: normal  $\forall, \exists$ :  $\forall x \equiv \forall x$  of  $V$ ;  $\exists x \equiv \exists x$  of  $V$
- : restricted  $\forall, \exists$ :  $\forall^* x \equiv \forall x$  of  $U^*$ ;  $\exists^* x \equiv \exists x$  of  $U^*$ , i.e.  $\forall x, \exists x$  of  $V$  such that  $x \neq \Lambda$ .

# Consequences (to be checked)

- Be  $\sigma$  a ZF axiom:  $\sigma^*$  is true ( $\sigma^* \equiv$  all the quantifiers are  $*$ ).
- $\forall^* x (\Lambda \in x)$
- $\exists z \in x \leftrightarrow x \neq \Lambda$



# EXT\* and EXT

- EXT\* true. Proof:
- We must show that:  $(\forall x \forall y (\forall z (z \in x \Leftrightarrow z \in y) \Rightarrow x = y))^* \equiv \forall^* x \forall^* y (\forall^* z (z \in x \Leftrightarrow z \in y) \Rightarrow x = y)$
- Preliminary:  $U^*$  is transitive:  $a \in b \text{ "}\in\text{" } U^* \Rightarrow a \text{ "}\in\text{" } U^*$ .
- $x, y \text{ "}\in\text{" } U^* \Rightarrow x \neq \Lambda, y \neq \Lambda$
- Notation:  $x \bar{=} y$  means that  $x$  and  $y$  have same elements and are equal by the bottom.

- Phenomenon: if  $x, y \in U^*$  and  $(x \stackrel{\bar{\wedge}}{=} y)_{U^*}$ , then  $(x \stackrel{\bar{\wedge}}{=} y)$  (in ZF universe), so  $x = y$ .

# Terms

- Term:  $\{x \mid \varphi(x, \dots)\}$  in the language:  $\in, =, \Lambda$ .
- Don't forget we work in  $V, \in, =$ .
- What about " $\{x \mid \varphi(x, \dots)\}$ "?
- $\{x \mid \varphi(x, \dots)\}$  is a "a" such that: (hope)  $\forall y (y \in a \Leftrightarrow \varphi(y, \dots))$  - in  $V$ .
- Probably less effective a-terms.

# Terms

- $\{x \mid \varphi(x, \dots)\}^*$  is a "b" such that: (hope)  $\forall^* y (y \in b \Leftrightarrow \varphi^*(y, \dots))$  - in  $U^*$ .
- To be checked (very probable):  $(ZF)^*$ , all the standard operations of ZF.

# Example of term

- $\{\Lambda\} = \emptyset_{\text{ens.}} \text{ (in } U^*)$
- $\{\Lambda\} =_{\text{def.}} \{x \mid x = \Lambda\}$
- Two ways to get  $\{\Lambda\}$ :
  - (1) pairing + separation:
    - pairing: with  $x = y = \Lambda$ , we know that  $\Lambda \in z$ .
    - Separation: we keep from  $z$  the  $x$  such that  $x = \Lambda$  and we have the singleton of Lambda.

$$\{\Lambda\}$$

(2) axiom of the parts applied to  $\Lambda$ :

$$\exists y \forall z (\forall t (t \in z \Rightarrow t \in \Lambda) \Rightarrow z \in y)$$

As nothing is in  $\Lambda$ , nothing can be in  $z$ , so  $z$  has to be « nothing » ( $\Lambda$ ), and  $y$  must contain  $\Lambda$ :

$$y = \wp(\Lambda) = \{\Lambda\}.$$

$\wp(\Lambda)$  is the only set containing only « nothing », that is  $\wp(\Lambda) = \emptyset_{\text{ens.}}$  .

# Example of checking of ZF axiom in $U^*$

- Axiom of pairing:  $(\forall x \forall y \exists z \forall t (t \in z \Leftrightarrow (t = x \vee t = y)))^* \equiv \forall^* x \forall^* y \exists^* z \forall^* t (t \in z \Leftrightarrow (t = x \vee t = y))$
- Thanks to separation, we are sure that the set contains  $\Lambda$ ; so « pairing » must become « triple ».
- The true triple in  $U^*$ :  $\{x, y, \Lambda\}$
- We are sure that  $\{x, y, \Lambda\}$  is in  $U^*$  thanks to TC.
- What is  $\{x, y, \Lambda\}$ ? It is the standard pair with  $\Lambda$  in addition.

- $\forall x \forall y \exists z \forall t (t \in z \Leftrightarrow (t = x \vee t = y \vee t = \Lambda))$



# Set of the parts

- $\wp^* a =_{\text{def.}} \{b \cup \{\Lambda\} \mid b \text{ in } U\} \cup \{\Lambda\}$
- General rule (to be checked) for  $\{x \mid \varphi(x, \dots)\}^*$   
(this element is always in  $U^*$ , so different from  $\Lambda$ ):  $\{x \cup \{\Lambda\} \mid \varphi(x, \dots)\} \cup \{\Lambda\}$  and  $x \text{ in } U^*$ .
- A bit harder: what about  $\wp a$ ? That means set of the parts in  $V$  rather than in  $U^*$ .
- Preliminary: “ $x \subset y$ ”:  $x \subset y \Leftrightarrow \forall t((t \in x \Rightarrow t \in y) \wedge \Lambda \in x)$

# Set of the parts

- We have seen that  $\wp(\Lambda) = \{\Lambda\}$ .
- From there, we can use the general rule  $\{x \cup \{\Lambda\} \mid \varphi(x, \dots)\} \cup \{\Lambda\}$  as in  $U^*$  to get all other sets of the parts.

# Current operations

- $\cap$  intersection and  $\cup$  union are wrong in  $U^*$  and trivially true in  $V$ :
- $z = (x \cap y) \Leftrightarrow \forall t(t \in z \Leftrightarrow (t \in x \wedge t \in y))$
- $z = (x \cap y)^* \Leftrightarrow z = x \cap^* y$
- $(\forall t(t \in z \Leftrightarrow (t \in x \wedge t \in y)))^* \Leftrightarrow \forall^* t(t \in z \Leftrightarrow (t \in x \wedge t \in y))$
- $z = (x \cup y) \Leftrightarrow \forall t(t \in z \Leftrightarrow (t \in x \vee t \in y))$
- $z = (x \cup y)^* \Leftrightarrow z = x \cup^* y$
- $(\forall t(t \in z \Leftrightarrow (t \in x \vee t \in y)))^* \Leftrightarrow \forall^* t(t \in z \Leftrightarrow (t \in x \vee t \in y))$
- It can not function in  $U^*$  since it excludes  $\Lambda$  of  $z$ .
- A solution:  $z = \{t \mid \varphi(t, \dots)\} \cup \{\Lambda\}$  and  $t$  in  $U^*$ . Ad hoc?
- No problem in  $V$ :  $\Lambda$  is taken into account.

- $\Gamma_{\Lambda} \models \cup \Rightarrow \Gamma_{\Lambda} \models \cap$  ???
- $\Gamma_{\Lambda}^* \not\models \cap \Rightarrow \Gamma_{\Lambda}^* \not\models \cup$
- **Ma notation est-elle légale? Mes raisonnements corrects?**
- $\Gamma_{\Lambda}$  for Lambda theory
- $\Gamma_{\Lambda}^*$  for Lambda theory with  $\forall^*, \exists^*$
- $\Gamma_{ZF}$  for ZF theory

# Empty family intersection and union

- Particular cases: empty family intersection and union.
- What does « empty family » mean?
- Classically:  $\bigcup_{x \in \{\emptyset\}} X = \emptyset$ ;  $\bigcup_{x \in \{\}} X = \emptyset$ ,  $\bigcap_{x \in \{\emptyset\}} X = \emptyset$ ;  
 $\bigcap_{x \in \{\}} X = U$ .
- In Lambda theory:
- $\bigcup_{x \in \{\{\Lambda\}\}} X \equiv U\{\Lambda\}$  and  $U\{\Lambda\} = \{\Lambda\}$ ;  $\bigcup_{x \in \{\Lambda\}} X \equiv U\Lambda$  and  
 $U\Lambda = \Lambda$
- $\bigcap_{x \in \{\{\Lambda\}\}} X \equiv \bigcap\{\Lambda\}$  and  $\bigcap\{\Lambda\} = \{\Lambda\}$ ;  $\bigcap_{x \in \{\Lambda\}} X \equiv \bigcap \Lambda$   
and  $\bigcap \Lambda = \Lambda$

# Empty family intersection and union

- In  $\bigcap_{x \in \emptyset} X$ , if it is not true that  $x \in X$  for each  $X$  of  $\emptyset$ , then it must exist a  $X$  such that  $x \notin X$ ; since there is no  $X$  in  $\emptyset$ , no  $X$  puts at fault the condition, so any  $X$  satisfies it, and the  $x$ 's specified by the condition exhaust the universe  $U$ .
- Formally:  $\forall X(x \in X) \equiv \neg \exists X \neg(x \in X)$
- The right side is read before the left side for the interpretation.

# Empty family intersection and union

- For  $\bigcup_{x \in \{\}} X$ :  $\exists X(x \in X) \equiv \neg \forall X \neg(x \in X)$
- Left side is read first: no  $X$ , so  $\exists X$  is false.
- Right side: no  $X$ , so  $\forall X(x \in X)$  is false. So we have at least  $\neg \forall X(x \in X)$ . At the extreme, we have  $\forall X \neg(x \in X)$ . There is no  $X$  such that  $x \in X$ , so no  $X$  to contradict  $\forall X \neg(x \in X)$ . So,  $\neg \forall X \neg(x \in X)$  is invalidated and  $\bigcup_{x \in \{\}} X = \emptyset$ .
- Anomalies:
  - Condition on  $\bigcap$  stronger than that on  $\bigcup$ . If  $\neg \exists X \neg(x \in X)$  is true,  $\neg \forall X \neg(x \in X)$  is true. If  $\bigcap_{x \in \{\}} X$  gives  $\bigcup$ ,  $\bigcup_{x \in \{\}} X$  must give  $\bigcup$  too.
  - $\bigcap \subset \bigcup$ : if  $\bigcup_{x \in \{\}} X = \emptyset$ ,  $\bigcap_{x \in \{\}} X = \emptyset$ .
- Solution: in  $V$ ,  $\exists X = \Lambda(\neg(x \in X))$ , so  $\bigcap_{x \in \{\Lambda\}} X = \Lambda$ . And  $\bigcup_{x \in \{\Lambda\}} X = \Lambda$ .

# Symmetric difference

- Symmetric difference needs slight change:
- $(z = x \setminus y \Leftrightarrow \forall t(t \in z \Leftrightarrow ((t \in x) \wedge ((t \notin y) \vee t = \Lambda))))^*$
- $(\forall t(t \in z \Leftrightarrow ((t \in x) \wedge ((t \notin y) \vee t = \Lambda))))^* \Leftrightarrow \forall^* t(t \in z \Leftrightarrow ((t \in x) \wedge ((t \notin y) \vee t = \Lambda)))$
- So does inclusion:
- $x \subset y \Leftrightarrow \forall t((t \in x \Rightarrow t \in y) \wedge \Lambda \in x)$



# Singleton of Lambda and Standard Empty Set

We want to check the following equivalences for sets:

- Do contain nothing  $\equiv$  do not contain anything
- Free of sets ( $\{\Lambda\}$ )  $\equiv$  free of sets and Lambda ( $\emptyset_{ZF}$ )
- How can we proceed? Sets in  $U^*$  are standard (ZF) sets to which  $\Lambda$  has been added. So,  $\{\Lambda\}$  is exactly in the same relation with  $\Lambda$ -sets as  $\emptyset_{ZF}$  with standard sets.

# Singleton of Lambda, Lambda and Contradictory Property

- What about the standard definition of empty set by means of a contradictory property?

$$\{x : x \neq x\}$$

- Thanks to the disjunction added to the comprehension axiom scheme, for any set  $x$ , there is a set  $y$  that contains at least  $\Lambda$ , without the necessity for  $\Lambda$  to satisfy any property.
- So,  $\{x : x \neq x\}$  is not a set and can define  $\Lambda$ :  $\{x : x \neq x\} = \Lambda$ .

# A definition of Lambda

- In  $V$ ,  $\exists x \forall y (\neg (y \in x)) : x = \Lambda$   
 $\exists x (x = \Lambda)$
- $\exists x \forall y (y \in x \Rightarrow y = \Lambda) : x = \{\Lambda\}$

# Behaviour of Lambda and of Standard Empty Set

## Lambda

- $x \cap y = \{\Lambda\}$
- $x \cap \Lambda = \Lambda$
- $x \cup \Lambda = x$
- $x \setminus \Lambda = x$
- $x \setminus x = \{\Lambda\}$
- $\Lambda \cap \Lambda = \Lambda$
- $\Lambda \cup \Lambda = \Lambda$
- $\Lambda \setminus \Lambda = \Lambda$

## Standard Empty Set

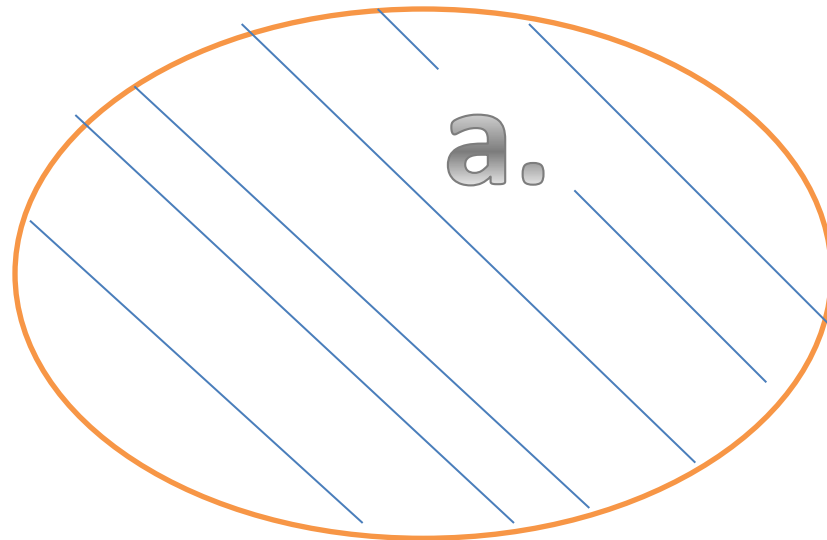
- $x \cap y = \emptyset$
- $x \cap \emptyset = \emptyset$
- $x \cup \emptyset = x$
- $x \setminus \emptyset = x$
- $x \setminus x = \emptyset$
- $\emptyset \cap \emptyset = \emptyset$
- $\emptyset \cup \emptyset = \emptyset$
- $\emptyset \setminus \emptyset = \emptyset$

# Why Lambda can not be assimilated to Classical Empty Set

- Product and difference of  $x$  and  $y$  give  $\{\Lambda\}$  and not  $\Lambda$ .
- Lambda belongs to any set while Empty set and singleton of Lambda don't.
- Lambda is not a set.
- Contradictory property does not help to define a set since  $\{x : x \neq x\}$  does not contain  $\Lambda$ .
- $\wp(\Lambda)$  gives  $\emptyset$  (no set included in  $\Lambda$ ) and we add  $\Lambda$  to  $\emptyset$  by the axiom of pre-element.

# Representation of Lambda

- a is an element
- Lambda must be conceived and seen as the free zone around the element(s).



# Definition of Element, Pre-element and Set

- $x$  is an element  $\Leftrightarrow x$  is a set
- $x$  is a pre-element  $\Leftrightarrow \forall y(y \text{ is an element} \Rightarrow x \in y)$
- $x$  is a set  $\Leftrightarrow \exists y(y \in x)$